

# **Ethical, Environmental and Social Investments**

## **- Client Questionnaire**

# Ethical, Environmental and Social Investments Client Questionnaire

Client's Name

Adviser's Name

The purpose of this questionnaire is to gain a better understanding of your views about particular areas of investments. The information we obtain will be used to build an investment portfolio which takes into account your views on key ethical, environmental and social issues as well as your financial objectives.

## Section 1: Your Priorities

1. Thinking about any personal concerns you have for ethical, environmental and social issues, how important is it to you that your investments also reflect these concerns?

Select one of the following

- Very important
- Important
- A little important
- Not important (If you tick this answer please do not complete the survey further)

2. In terms of balancing these personal concerns with your financial goals, how would you rank the following in order of importance?

(1 = most important, 4 = least important)

- Preservation of capital
- Maximising financial return
- Avoiding ethically unacceptable activities
- Having your investments contribute to beneficial social or environmental impact

3. How much do you agree with the following statement: "I prefer to invest in companies whose core activity is to find solutions to major social or environmental challenges"?

Select one of the following

- Strongly agree
- Tend to agree
- Potentially agree
- Tend to disagree
- Strongly disagree

## Section 2: Your General Ethical, Environmental or Social Opinion

Which of the following best describes the amount of concern you have with regard to ethical, environmental or social issues.

Please select the relevant statement that applies to you;

- I generally want my money invested in companies that are, on average, more beneficial to society and the environment and not contentious ethically. However, I do not have any specific issues of personal concern; I would be happy to go with more progressive funds with broad criteria on these issues. *(By selecting this answer you will have completed this survey)*
- I generally want my money invested in companies that are, on average, more beneficial to society and the environment and not contentious ethically. I also have some specific areas of concern. *(If you select this answer please proceed to complete Section 3)*

### General Investments Risk Warning

Past performance is not necessarily a guide to future performance. Investment returns cannot be guaranteed and you may not get back the full amount you invested. The stockmarket should not be considered as a suitable place for short term investment. Levels and bases of, and reliefs from, taxation are subject to change and values depend on the circumstances of the investor.

### Ethical Investments Risk Warning

Funds which are screened to meet ethical investment criteria will not invest in certain sectors or companies. The exclusion of such areas of the market may result in periods of under-performance when compared with relevant main benchmarks. For instance, if tobacco stocks are enjoying extremely strong returns an ethical investment portfolio will not participate.

### Section 3: Your Specific Ethical, Environmental or Social Concerns

The following questions assess how you feel about certain areas of investment

Please select one column option for each area, to indicate whether you are:

**Very Concerned:** This is a business activity that you are worried about, however, you wouldn't mind investing in a company that has limited (less than 10%) exposure to this issue.

**Mildly Concerned:** You would invest in a company that is exposed to this issue, however, you are concerned about this and would like to see active progression towards reducing the exposure.

**Not Concerned:** This issue does not concern you.

|   | Very Concerned        | Mildly Concerned      | Not Concerned         |
|---|-----------------------|-----------------------|-----------------------|
| Tobacco production                      | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Alcohol production                      | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Gambling                                | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Pornography – Sale and Production       | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Human rights abuses                     | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Animal testing – cosmetics              | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Animal testing – chemicals or health    | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Armaments – weapons related             | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Poor environmental management           | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Nuclear power                           | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Fossil fuels – exploration & production | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

Please indicate any areas of concern that you would like to be totally excluded from your investment portfolios:

### Ethical restrictions versus investment goals

It is not always possible to find Ethical/Socially Responsible Investment funds to match everyone's personal preferences. On some occasions it may not be possible to get a fund that has a total exclusion on all the areas of your concern (however, please note all funds used will encompass the Whitechurch Ethical policy). In this case, you may need to make some compromises which your adviser will discuss with you. It should also be noted that some funds used will take account of other areas which are not of concern to you.

### DECLARATION

I have provided this information on the understanding that it will be used to form the basis of advice and recommendations made to me with regards to Whitechurch Ethical Investment Solutions. I understand that I am not under any obligation to take up any recommendation made.

|           |  |
|-----------|--|
| Signature |  |
| Date      |  |



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